

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

004501-777

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/500887

INTERNATIONAL APPLICATION NO.

PCT/CH03/00018

INTERNATIONAL FILING DATE

15 January 2003 (05.01.2003)

PRIORITY DATE CLAIMED

29 January 2002 (29.01.2002)

TITLE OF INVENTION

SECURING DEVICE FOR A TURBOCHARGER (As Amended)

APPLICANT(S) FOR DO/EO/US


BATTIG, Josef

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission to items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 21 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: General Authorization for Petitions for Extensions of Time and Payment of Fees; Application Data Sheet
21. ☒ Applicant(s) requests that the published application include the following assignment information: ABB Turbo Systems AG, Baden, Switzerland

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER																														
10/500887		PCT/CH03/00018		004501-777																														
22. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,080.00 (1611) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 (1613) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 (1610) International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 (1609) International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (1612) ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				CALCULATIONS PTO USE ONLY																														
				\$ 920.00																														
<table><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th><th>\$</th></tr></thead><tbody><tr><td>Total Claims</td><td>13</td><td>-20 =</td><td>0</td><td>X \$18.00 (1615)</td><td>\$ 0.00</td></tr><tr><td>Independent Claims</td><td>1</td><td>-3 =</td><td>0</td><td>X \$86.00 (1614)</td><td>\$ 0.00</td></tr><tr><td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td><td>+ \$290.00 (1616)</td><td></td></tr><tr><td colspan="5">TOTAL OF ABOVE CALCULATIONS =</td><td>\$ 920.00</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total Claims	13	-20 =	0	X \$18.00 (1615)	\$ 0.00	Independent Claims	1	-3 =	0	X \$86.00 (1614)	\$ 0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00 (1616)		TOTAL OF ABOVE CALCULATIONS =					\$ 920.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$																														
Total Claims	13	-20 =	0	X \$18.00 (1615)	\$ 0.00																													
Independent Claims	1	-3 =	0	X \$86.00 (1614)	\$ 0.00																													
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00 (1616)																														
TOTAL OF ABOVE CALCULATIONS =					\$ 920.00																													
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+ \$ 0.00																														
Processing fee of \$130.00 (1618) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$ 0.00																														
TOTAL NATIONAL FEE =				\$ 920.00																														
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +				\$ 40.00																														
TOTAL FEES ENCLOSED =				\$ 960.00																														
				Amount to be refunded :																														
				charged :																														
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.																																		
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																																		
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed.																																		
d. <input checked="" type="checkbox"/> Charge <u>\$ 960.00</u> to credit card. Form PTO-2038 is attached.																																		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																																		
SEND ALL CORRESPONDENCE TO:																																		
																																		
				SIGNATURE																														
				Robert S. Swecker																														
				NAME																														
<u>19,885</u>				<u>July 7, 2004</u>																														
REGISTRATION NO.				DATE																														

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Josef Battig

Group Art Unit:

Application No.:

Examiner:

Filing Date: July 7, 2004

Confirmation No.:

Title: SECURING DEVICE FOR A TURBOCHARGER (As Amended)

**GENERAL AUTHORIZATION FOR PETITIONS
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By



Robert S. Swecker

Registration No. 19,885

Date: July 7, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Josef Battig

Group Art Unit:

Application No.:

Examiner:

Filing Date: July 7, 2004

Confirmation No.:

Title: SECURING DEVICE FOR A TURBOCHARGER (As Amended)

GENERAL AUTHORIZATION FOR PETITIONS
FOR EXTENSIONS OF TIME AND PAYMENT OF FEES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.136(a)(3), the U.S. Patent and Trademark Office is hereby provided with a general authorization to treat any concurrent or future reply requiring a petition for an extension of time for its timely submission as containing a request therefor for the appropriate length of time.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.17 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

By



Robert S. Swecker

Registration No. 19,885

Date: July 7, 2004